UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

ALISON KENT-FRIEDMAN.

Plaintiff.

- against -

THE NEW YORK STATE INSURANCE FUND, ERIC MADOFF, WILLIAM O'BRIEN, PETER CUSICK, JOHN DORMIN, JOSEPH MULLEN, and JOHN and JANE DOE (said names being fictitious, the persons intended being those who aided and abetted the unlawful conduct of the named Defendants),

Defendants.

No. 18-cv-04422 (VM)(OTW)

STIPULATION AND
PROTECTIVE ORDER

WHEREAS, the Parties having agreed to the following terms of confidentiality, and the Court having found that good cause exists for the issuance of an appropriately tailored confidentiality order pursuant to Rule 26(c) of the Federal Rules of Civil Procedure, it is hereby

ORDERED that the following restrictions and procedures shall apply to the information and documents exchanged by the parties in connection with the pre-trial phase of this action:

- Counsel for any party may designate any document or information, in whole or in part, as confidential if counsel determines, in good faith, that such designation is necessary to protect the interests of the client in information that is proprietary, a trade secret or otherwise sensitive non-public information. Information and documents designated by a party as confidential will be stamped "CONFIDENTIAL."
- 2. The Confidential Information disclosed will be held and used by the person receiving such information solely for use in connection with the action.
- 3. In the event a party challenges another party's designation of confidentiality, counsel shall make a good faith effort to resolve the dispute, and in the absence of a resolution, the challenging party may seek resolution by the Court. Nothing in this Protective Order constitutes an admission by any party that Confidential Information disclosed in this case is relevant or admissible. Each party reserves the right to object to the use or admissibility of the Confidential Information.
- 4. The parties should meet and confer if any production requires a designation of

"For Attorneys' or Experts' Eyes Only." All other documents designated as "CONFIDENTIAL" shall not be disclosed to any person, except:

- a. The requesting party and counsel, including in-house counsel;
- b. Employees of such counsel assigned to and necessary to assist in the litigation;
- c. Consultants or experts assisting in the prosecution or defense of the matter, to the extent deemed necessary by counsel; and
- d. The Court (including the mediator, or other person having access to any Confidential Information by virtue of his or her position with the Court).
- 5. Before disclosing or displaying the Confidential Information to any person, counsel must:
 - a. Inform the person of the confidential nature of the information or documents;
 - b. Inform the person that this Court has enjoined the use of the information or documents by him/her for any purpose other than this litigation and has enjoined the disclosure of the information or documents to any other person; and
 - c. Require each such person to sign an agreement to be bound by this Order in the form attached hereto.
- 6. The disclosure of a document or information without designating it as "confidential" shall not constitute a waiver of the right to designate such document or information as Confidential Information. If so designated, the document or information shall thenceforth be treated as Confidential Information subject to all the terms of this Stipulation and Order.
- 7. Any Personally Identifying Information ("PII") (e.g., social security numbers, financial account numbers, passwords, and information that may be used for identity theft) exchanged in discovery shall be maintained by the receiving party in a manner that is secure and confidential.
- 8. Pursuant to Federal Rule of Civil Procedure 502, inadvertent disclosure of privileged communications shall not constitute a waiver of the privilege in this matter provided the parties follow the steps set forth in Rule 502.
- 9. Notwithstanding the designation of information as "confidential" in discovery, there is no presumption that such information shall be filed with the Court under seal. The parties shall follow the Court's procedures with respect to filing under seal. If a party intends to file with the Court information designated by another party as "confidential," and the designating party does not consent to waiver of the "confidential" designation, the filing party must seek to file such information under seal. If the filing party's request

to file confidential material under seal is denied by the Court, then the filing party may file the information in the public record unless otherwise instructed by the court.

10. At the conclusion of litigation, Confidential Information and any copies thereof shall be promptly (and in no event later than 30 days after entry of final judgment no longer subject to further appeal) returned to the producing party or certified as destroyed, except that the parties' counsel shall be permitted to retain their working files on the condition that those files will remain protected.

SO STIPULATED AND AGREED.

Dated: February 10, 2020

New York, New York

Dated: February 7, 2020 New York, New York

SAMUEL MADUEGBUNA
Attorney for Plaintiffs

SAMUEL MADUEGBUNA Maduegbuna Cooper LLP Attorneys at Law 30 Wall Street, 8th Fl New York, New York 10005

SO ORDERED.

Ona T. Wang U.S. Magistrate Judge

Dated: February 19, 2020

LETITIA JAMES

Attorney General of the State of New York
Attorney for Defendants New York
State Insurance Fund, Madoff,
O'Brien, Cusick & Mullen

By:

By: MATTHEW CONRAD Assistant Attorney General 28 Liberty Street, 17th Floor New York, New York 10005

Dated: February __, 2020 New York, New York

PATRICIA PREZIOSO
Attorney for Defendant Dormin

PATRICIA PREZIOSO

Porzio Bromberg & Newman P.C. 100 Southgate Parkway Box 1997 Morristown, New Jersey 07962

Agreement

I have been informed by counsel that certain documents or information to be disclosed to me in connection with the matter entitled have been designated as confidential. I have been informed that any such documents or information labeled "CONFIDENTIAL" are confidential by Order of the Court.

I hereby agree that I will not disclose any information contained in such documents to any other person. I further agree not to use any such information for any purpose other than this litigation.

DATED:	•		
		•	
Signed in the presence	of:		
•			
(Attorney)			